

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

23595

7590

10/12/2004

NIKOLAI & MERSEREAU, P.A. 900 SECOND AVENUE SOUTH SUITE 820 MINNEAPOLIS, MN 55402 EXAMINER

VORTMAN, ANATOLY

PAPER NUMBER

ART UNIT

DATE MAILED: 10/12/2004

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/662,186	09/12/2003	Chao-Chi Lin	CFP-2231 (15722/574)	9374

TITLE OF INVENTION: HEAT SINK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$685	\$300	\$985	01/12/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

			or <u>Fax</u>	(703) 746-4000		
INSTRUCTIONS: This for appropriate. All further con indicated unless corrected by maintenance fee notification	m should be used for tran respondence including the loelow or directed otherwise is.	smitting the ISSU Patent, advance ord in Block 1, by (a)	E FEE and PUB ders and notificati specifying a nev	LICATION FEE (if requirements on of maintenance fees to correspondence address	nired). Blocks 1 through 5 s will be mailed to the current and/or (b) indicating a sep	hould be completed where correspondence address as arate "FEE ADDRESS" for
	E ADDRESS (Note: Use Block 1 for	any change of address)		Note: A certificate of	mailing can only be used f	or domestic mailings of the
				Fee(s) Transmittal. Th	nis certificate cannot be used al paper, such as an assignm	for any other accompanying
23595 75	90 10/12/2004			have its own certificat	e of mailing or transmission.	o u.u
NIKOLAI & ME	RSEREAU, P.A.			Ce	rtificate of Mailing or Tran	smission
900 SECOND AVENUE SOUTH SUITE 820				I hereby certify that the States Postal Service addressed to the Ma	his Fee(s) Transmittal is bein with sufficient postage for fi il Stop ISSUE FEE address PTO (703) 746-4000, on the	g deposited with the United st class mail in an envelope above, or being facsimile
MINNEAPOLIS, N	MN 55402			Transmitted to the OSF	10 (703) 740-4000, on the	(Depositor's name)
						(Signature)
						(Date)
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EXAM	IINER	ART UNI	IT	CLASS-SUBCLASS	J	
VORTMAN,	, ANATOLY	2835		361-710000		
1. Change of correspondence CFR 1.363).	e address or indication of "Fo	ee Address" (37		on the patent front page, l	•	
	lence address (or Change of 22) attached.	Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,			
			(2) the name of	a single firm (having as	a member a 2	
☐ "Fee Address" indicat PTO/SB/47; Rev 03-02 of	ion (or "Fee Address" Indica or more recent) attached. Use	ation form e of a Customer	2 registered par	ney or agent) and the nament attorneys or agents. If	nes of up to I no name is 3	
Number is required.	•		listed, no name	will be printed.	-	
3. ASSIGNEE NAME AND			•	•• •		
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified be 37 CFR 3.11. Completion	elow, no assignee of this form is NOT	data will appear of a substitute for fi	n the patent. If an assign ling an assignment.	nee is identified below, the	document has been filed for
(A) NAME OF ASSIGNI				CITY and STATE OR CO		
•		` .			,	
Please check the appropriate	assignee category or catego	ries (will not be pri	inted on the patent	): 🗖 Individual 🗖 C	Corporation or other private gr	oup entity 🗖 Government
4a. The following fee(s) are	enclosed:	4b	. Payment of Fee(	s):	-	•
☐ Issue Fee			A check in the amount of the fee(s) is enclosed.			
Publication Fee (No small entity discount permitted)			Payment by credit card. Form PTO-2038 is attached.			
Advance Order - # of Copies			The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).			
5. Change in Entity Status	(from status indicated above	:)				
a. Applicant claims Sl	MALL ENTITY status. See	37 CFR 1.27.			LL ENTITY status. See 37 (	
The Director of the USPTO NOTE: The Issue Fee and Printerest as shown by the reco	is requested to apply the Issu ublication Fee (if required) vords of the United States Pate	ue Fee and Publicat will not be accepted ent and Trademark	ion Fee (if any) or from anyone othe Office.	to re-apply any previous r than the applicant; a reg	ly paid issue fee to the applic sistered attorney or agent; or	ation identified above. he assignee or other party in
Authorized Signature				Date	<del>-</del>	
Typed or printed name				Registration	1 No	
This collection of information an application. Confidential submitting the completed ap	on is required by 37 CFR 1.3 ty is governed by 35 U.S.C. pplication form to the USPT	11. The information 122 and 37 CFR 10. Time will vary	n is required to ob	tain or retain a benefit by on is estimated to take 12 ne individual case. At or	the public which is to file (ar minutes to complete, includi omments on the amount of t	nd by the USPTO to process) ng gathering, preparing, and ime you require to complete

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			DATE MAILED: 10/12/200-	<b>1</b>

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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**DATE MAILED: 10/12/2004** 

## Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees will increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 52604, 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))	\$685.00
By other than a small entity	
(b) Issue fee for issuing a design patent:	•

By a small entity (Sec. 1.27(a)).....\$245.00 By other than a small entity......\$490.00

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))......\$330.00 By other than a small entity......\$660.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.